

**Open Report on behalf of Pete Moore, Executive Director
Resources & Community Safety**

Report to:	Audit Committee
Date:	13 June 2011
Subject:	Corporate Audit Progress Report to 30 April 2011

Summary:

This report provides an update on progress made against the Audit Plan 2011/12 as agreed by the Committee on 21 March 2011.

Recommendation(s):

That the Committee notes the outcomes of Corporate Audit work and identifies any actions it requires.

Background

- This report:
 - Advise on progress being made against the Audit Plan
 - Provide details of the audit reports issued during the period

Progress with Planned Audits to 30 April 2011

- The following audit reports have been issued as a final report:

<p>Substantial Assurance</p> <p>Resources Directorate New Ways of Working Programme Payroll</p> <p>Adult Services SWIFT ICT System Putting People First Programme</p> <p>Development Energy from Waste Project Joint Municipal Waste Strategy Growth Point Grant Climate Change Management Highways Maintenance Contract Highways Asset Management System</p>

Full Assurance
Resources Directorate
Fire Service

The assurance expressed is at the time of issue of the report **but before the full implementation of the agreed management action plan**. Definitions levels are shown in Appendix A.

3. Directorates' progress with the implementation of recommendations to 31 March 2011 is reported in the Annual Report 2010/11.
4. Progress against the Audit Plan 2011/12 is reported in Appendix B.

Performance Information

5. Corporate Audit's performance is measured against a range of indicators. For those measures where information is available, performance against the Audit Plan 2011/12 is shown below:

Performance Indicator	Target	Actual to 30 th April 2011
Productivity & Efficiency		
Actual audits versus planned (by no of audits)	85%	81%
Financial Systems	100%	N/A
% of Reports issued within 2 weeks of closure meeting	90%	N/A
Quality of Service		
Client questionnaire scoring better than average for all categories	Ave = 3	N/A

Conclusion

6. Corporate Audit has completed 11 audits since the last progress report to Committee in March 2011. None of these resulted in an audit opinion of 'No' or 'Limited' assurance.
7. Performance against the Audit Plan 2011/12 for the period to 30 April 2011 stands at 81% (target 85%).

Consultation

a) Policy Proofing Actions Required

N/A

Appendices

These are listed below and attached at the back of the report	
Appendix A	Audit Assurances
Appendix B	Annual Plan 2011/12 Progress

Background Papers

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

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